

839-968
51DV-7028Nixon & Vanderhye P.C. (10/99)
(Domestic Non-Assigned/Foreign) Page 1

#3

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint Inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND OPERATIONAL STRATEGY FOR CONTROLLING VARIABLE STATOR VANES OF A GAS TURBINE POWER GENERATOR
COMPRESSOR COMPONENT DURING UNDER-FREQUENCY EVENTS**

the specification of which (check applicable box(s)):

is attached hereto
 was filed on June 29, 2001 as U.S. Application Serial No. 09/893,608 (Atty Dkt. No. 839-968)
 was filed as PCT International application No. _____ on _____

and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

| Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|--------------------|---------|----------------------|

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

| Application Number | Date/Month/Year Filed |
|--------------------|-----------------------|
|--------------------|-----------------------|

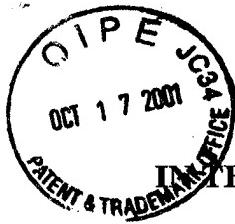
I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

| Prior U.S./PCT Application(s): Application Serial No. | Day/Month/Year Filed | Status: patented pending, abandoned |
|--|----------------------|--|
|--|----------------------|--|

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Basha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnham, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

| | | | | |
|----|---|---|-------------------|------------------------|
| 1. | Inventor's Signature: <u>Thomas</u> | <u>Edward</u> | <u>Wickert</u> | Date: <u>08/23/01</u> |
| | Inventor: Thomas (first) | Edward MI | WICKERT (last) | U.S. (citizenship) |
| | Residence: (city) Mailing Address: (Zip Code) | Simpsonville 505 River Walk Drive, Simpsonville, SC 29681 | (state/country) | SC |
| 2. | Inventor's Signature: <u>Bryan</u> | <u>Edward</u> | <u>Sweet</u> | Date: <u>10/21/01</u> |
| | Inventor: Bryan (first) | Edward MI | SWEET (last) | U.S.. (citizenship) |
| | Residence: (city) Mailing Address: (Zip Code) | Valatie 176 McCagg Road, Valatie, NY 12184 | (state/country) | NY |

See attached sheet(s) for additional inventor(s) information!!



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Wickert et al.

Atty. Ref.: 839-968

Serial No. 09/893,608

Group:

Filed: June 29, 2001

Examiner:

For: METHOD AND OPERATIONAL STRATEGY FOR
CONTROLLING VARIABLE STATOR VANES OF A
GAS TURBINE POWER GENERATOR COMPRESSOR
COMPONENT DURING UNDER-FREQUENCY
EVENTS

* * * * *

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

ATTN: LICENSING AND REVIEW**DECLARATION**

We, Thomas Edward WICKERT and Bryan Edward SWEET, whose residences are set forth below next to our names, do hereby declare as follows:

1. That we made and conceived the invention described in the U.S. patent application identified above.
2. That we made and conceived this invention while employed by GENERAL ELECTRIC COMPANY, a corporation of New York, and to whom we have assigned all right, title and interest by virtue of a previously-filed Assignment document; that the invention is related to the work we are employed to perform and was made within the scope of our duties; that the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of our employer, GENERAL ELECTRIC COMPANY.

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3. That to the best of our knowledge and belief the invention was not made (conceived or first actually reduced to practice) under terms of any contract, subcontract or arrangement entered into with or for the benefit of the National Aeronautics and Space Administration.

4. We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Thomas Edward Wickert
Thomas Edward WICKERT
505 River Walk Drive, Simpsonville, SC
29681

Date: 08/23/01

Bryan Edward Sweet
Bryan Edward SWEET
176 McCagg Road, Valatie, NY 12184

Date: 10/21/01